

HOE 90/F 333C (9086*185) DT 900333

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450.

Flowery 10, 2004

John Ferry

ANDREAS WINTER ET AL

SERIAL NO: 08/895,950 FILED: JULY 17, 1997 : ART UNIT: 1713 : EXAMINER: TESKIN

FOR: METALLOCENES CONTAINING LIGANDS OF:

2-SUBSTITUTED IDENYL DERIVATIVES, PROCESS FOR THEIR PREPARATION AND

THEIR USE AS CATALYSTS

REISSUE OF U.S. PATENT NO.: 5,276,208

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL REISSUE DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name, I believe I am an original, first and joint inventor of the subject matter which is described and claimed in United States letters patent number 5,276,208 (" '208 patent'), granted on January 4, 1994, as amended in the above-identified reissue application, and for which invention I solicit a reissue patent. This is a supplemental declaration.

One specific error with this reissue application is that this reissue application claims

HOE 90/F 333C (9086*185)

the intermediate compounds of the '208 patent which were inadvertently not claimed (see claims 19-20). Furthermore the catalyst composition of claims 21 and 22 and the process for polymerizing an olefin of claims 23-24 were inadvertently not claimed. Claim 1 was broadened to include the intermediate compounds with A being saturated or aromatic. These mistakes were made inadvertently, without any deceptive intention.

During the prosecution of this reissue application, the claims were amended because of prior art that I was not aware of. The Examiner rejected claims 1, 2, 4-15, 19 and 20 as anticipated by or, in the alternative, as obvious over Japanese 62-121707 ("Mitsui") alone or with reference to JACS (1967) 89 (23) pgs. 5868-5876 ("JACS"). At least one error that occurred is that the claimed invention covered a species in Mitsui. Mitsui disclosed ethylene bis (2,3-dimethyl-1-indenyl) zirconium dichloride, which is substituted in the 2-postion and 3-position of both ligands by a methyl group. The reissues claims were amended so that the reissue claims no longer cover this species. Reissue claims 1 and 19 were amended to exclude methyl from the definition of \mathbb{R}^3 (the 3-position of the ligand). However, \mathbb{R}^3 can be \mathbb{C}_2 - \mathbb{C}_{10} -alkyl or a \mathbb{C}_1 - \mathbb{C}_{10} alkyl which is halogenated. The definition of \mathbb{R}^4 still includes methyl. Again, the reissue claims in this application were amended to overcome this prior art rejection.

I have been informed that the reissue claim 1 will be amended to correct a possible 35 USC §112 rejection, more specifically that the definition of R^5 and R^6 will be amended as follows: " R^5 and R^6 are identical or different and are as defined for R^4 , with the proviso that R^5 and R^6 are not hydrogen". The term R^3 has been deleted from the definition because

HOE 90/F 333C (9086*185)

Reissue of U.S. Patent 5,276,208

R³ and R⁴ are no longer identical (R³ excludes methyl).

Again, all of these errors arose were inadvertent and without any deceptive intent.

POWER OF ATTORNEY

I hereby appoint the following attorneys and or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Rudolf E. Hutz, Reg. No. 22,397; Harold Pezzner, Reg. No. 22,112; Richard M. Beck, Reg. No. 22,580; Paul E. Crawford, Reg. No. 24,397; Robert G. McMorrow, Jr., Reg. No. 30,962; Patricia Smink Rogowski, Reg. No. 33,791; Ashley I. Pezzner, Reg. No. 35,646; William E. McShane, Reg. No. 32,707, all of Connolly Bove Lodge Hutz LLP, P.O. Box 2207, Wilmington, Delaware 19899-2007

SEND CORRESPONDENCE TO: DIRECT TELEPHONE CALLS TO:

Connolly Bove Lodge Hutz LLP
The Nemours Building
1007 North Orange Street
Wilmington, DE 19801

(302) 658-9141

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

HOE 90/F 333C (9086*185)

Send Correspondence To: Connolly Bove Lodge & P.O. Box 2207 Wilmington, Delaware 19899-2207	Hutz LLP	Direct Telephone C	
FULL NAME OF SOLE OR FIRST INVENTOR	ONVENTOR'S SIGNATULE	Vieles	0 5. Feb. 2004
Andreas Winter			CITIZENSHIP
An den Brunnensätten, 367271 Neuleining	cn, Germany		Germany
An den Brunnengärten, 367271 Neuleining FORTOPPICE ADDRESS		D DE F30/ D	47064 Ludwicchefon Germany
Basell Polyolefine GmbH Werk Ludwigsha	1		07050 Ludwigatitien, destruity
FULL NAME OF SECOND JOINT INVENTOR IF ANY	INVENTOR'S SIGNATURE	Ē	DATE
Martin Antberg			CITIZBNSIIIP
RESIDENCE	_		Germany
Niederhofheimer Str. 43a, 65719 Hofheim,			
Basell Polyolefine GmbH Werk Ludwigsh	efen Intellectual Prop	crty, Building F 206 D	67056 Ludwigshafen, Germany
YILL NAME OF THIRD JOINT INVENTOR IF ANY	INVENTOR'S SIGNATUR		DATE
Walter Spaleck			
RESIDENCE Elsa-Brändström-Str. 32, 28359 Bremen, C	iermanv		CITIZENSHIP
			Germany
POSTOFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigst	anten Intellectual Pro	perty, Building F 206 D	0-67056 Ludwigshafen, Germany
PULL NAME OF FOURTH JOINT INVENTOR IF ANY	INVENTOR'S SIGNATUR		DATE
Jürgen Rohrmann			
RESIDENCE			CITIZENSIIIP
Hainpfed 5, 65779 Kelkheim, Germany			Germany
POST OFFICE ADDRESS			CORCY hadrahafter Commen
Basell Polyolefine GmbH Werk Ludwigsh	nafen Intellectual Pro	perty, Building F 206 D	i
PULL NAME OF PIFTII JOINT INVENTOR IF ANY	INVENTOR'S SIGNATU	RE	DATE
Volker Dolle			
RESIDENCE			CITIZENSHIP
Wolfsgartenstr. 27, 64625 Bensheim, Gen	meny		Germany
Basell Polyolefine GmbH Werk Ludwigst	hafen Intellectual Pro	perty, Building F 206 I	0-67056 Ludwigshafen, Germany
Date 1 of Order of Charles 1 to 1 and Date 1 go			

HOE 90/F 333C (9086*185)

Send Correspondence To: Connolly Bove Lodge & P.O. Box 2207 Wilmington, Delaware 19899-2207		Direct Telephone (302) 888	Į.
PULL NAME OF SOLE OR FIRST INVENTOR	Inventor's signatur	2	DATE
Andreas Winter			CITIZENSHIP
An den Brunnengärten, 367271 Neuleinin	oen Germany		Germany
POST OFFICE ADDRESS	on, com-	D 000	CD 67066 Ludwigshafen Germany
Basell Polyolefine GmbH Werk Ludwigs	nafen Intellectual Pr	operty, Building F 206	D-67036 Eudwigshaten, Gormany
FULL NAME OF SECOND IOINT INVENTOR IP ANY	DAVBNTOR'S SIGNATUR	Why _	DATE 19.01.2004
Martin Antberg		, ,	CITIZENSHIP
RESIDENCE	. Cormany		Germany
Niederhofheimer Str. 43a, 65719 Hofheim			
Basell Polyolefine GmbH Werk Ludwigs	hafen Intellectual P	roperty, Building F 20	6 D-67036 Ludwigsnaten, Germany
FULL NAME OF THIRD JOINT INVENTOR IF ANY	INVENTOR'S SIGNATU		DATE
Walter Spaleck			- CITIZENSHIP
Elsa-Brandström-Str. 32, 28359 Bremen,	Gеrmany		Germany
POST OFFICE ADDRESS			
Basell Polyolefine GmbH Work Ludwig	shafen Intellectual	Property, Building F 20	06 D-67036 Ludwigshaten, Germany
FULL NAME OF FOURTH JOINT INVENTOR IF ANY	INVENTOR'S SIGNATU		DATE
Jürgen Rohrmann			CITIZENSHIP
Hainpfad 5, 65779 Kelkheim, Germany			Germany
Basell Polyolefine GmbH Werk Ludwig	shafen Intellectual l	Property, Building F 20	06 D-67056 Ludwigshafen, Germany
FULL NAME OF FIFTH JOINT INVENTOR IF ANY	INVENTOR'S SIGNAT		DATE
Volker Dolle			CHARLESTER
KESIDENCE			CHIZENSHIP Germany
Wolfsgartenstr. 27, 64625 Bensheim, Gr			
Basell Polyolefine GmbH Werk Ludwig	gshafen Intellectual	Property, Building F 2	06 D-67056 Ludwigshafen, Germany

HOE 90/F 333C (9086*185)

li		
۱	Send Correspondence To:	Direct Telephone Calls To:
Ì	Connolly Bove Lodge & Hutz LLP	(302) 888-6270
H	P.O. Box 2207	
١	Wilmington, Delaware 19899-2207	

FULL NAME OF SOLE OR FIRST INVENTOR	INVENTOR'S SIGNATURE	DATE
Andreas Winter		CITIZENSHIP
An den Brunnengärten, 367271 Neuleining	gen, Germany	Germany
POST OFFICE ADDRESS		
Basell Polyolefine GmbH Werk Ludwigst	naten Intellectual Property, Building F 206	D-67056 Ludwigshaten, Germany
FULL NAME OF SECOND JOINT INVENTOR IF ANY	INVENTOR'S SIGNATURE	DATE
Martin Antherg		
RESIDENCE		CITIZENSHIP
Niederhofheimer Str. 43a, 65719 Hofheim	Germany	Germany
POST OFFICE ADDRESS		
Bascil Polyolefine GmbH Werk Ludwigs	hafen Intellectual Property, Building F 206	D-67056 Ludwigshafen, Germany
	INVENTOR'S SIGNATURE	DATE
FULL NAME OF THIRD JOINT INVENTOR IF ANY	Miollie Las Day	Teb 3,2004 _
Walter Spaleck	Thomas direct	1000
	Co-many (CITIZENSHIP
Elsa-Bründström-Str. 32, 28359 Bremen,	Germany	Gennany
POST OFFICE ADDRESS		
	shafen Intellectual Property, Building F 200	6 D-67056 Ludwigshafen, Germany
FULL NAME OF FOURTH JOINT INVENTOR IF ANY	INVENTOR'S SIGNATURE	DATE
Jürgen Rohrmann		
RESIDENCE		CETTERNISHIN
Hainpfad 5, 65779 Kelkheim, Germany		CITIZENSHIP
•		Germany
FOST OFFICE ADDRESS		in consist that is a
Basell Polyolefine GmbH Werk Ludwigs	shafen Intellectual Property, Building F 206	b D-67056 Ludwigshafen, Germany
FULL NAME OF FIFTH JOINT INVENTOR IF ANY	INVENTOR'S SIGNATURE	DATE
Volker Dolle		
RESIDENCE		CITIZENSHIP
Wolfsgartenstr. 27, 64625 Bensheim, Ger	many	Germany
POST OFFICE ADDRESS		
Basell Polyolefine GmbH Werk Ludwigs	shafen Intellectual Property, Building F 206	6 D-67056 Ludwigshafen, Germany

::ODMA\MHODMA\CB;298204

HOE 90/F 333C (9086*185)

Direct Telephone Calls To:

Connolly Bove Lodge & P.O. Box 2207 Wilmington, Delaware 19899-2207	Hutz LLP	(302) 888-	6270
	INVENTOR'S SIGNATURE		DATE.
full name of sole or first inventor	INVENTORBRIONATOR		СПIZENSHIP
Andreas Winter RESIDENCE			Germany
An den Brunnengärten, 367271 Neuleining	en, Germany		
Basell Polyolefine GmbH Werk Ludwigsh	afon Intellectual Prope	erty, Building F 206 D-	67056 Ludwigshafen, Germany
FULL NAME OF SECOND JOINT INVENTOR IF ANY	inventor's signaturi		DATE
Martin Antherg			CITIZENSHIP
RESIDENCE	•		Germany
Niederhofheimer Str. 43a, 65719 Hofheim POSTOFFICE ADDRESS			
Basell Polyolefine GmbH Werk Ludwigsh	afen Intellectual Prop	erty, Building F 206 D-	67056 Ludwigshafen, Germany
FULL NAME OF THIRD JOIN! INVENTOR IF ANY	INVENTOR'S SIGNATUR		DATE
Walter Spaleck			
RESIDENCE	Garmany		CITIZENSHIP
Elsa-Brändström-Str. 32, 28359 Bremen,			Germany
POSTOFFICE ADDRESS Basell Polyolofine GmbH Werk Ludwigs	L-C- Intellectual Dec	nesty Building F 206 I	0-67056 Ludwigshafen, Germany
FULL NAME OF FOURTH JOINT INVENTOR IF ANY	INVENTOR'S BIGNATUL		DATE 12.01.04
Jürgen Rohrmann	1 Cycle	10000	CITIZENSHIP
Hainpfad 5, 65779 Kelkheim, Germany)		Germany
POSY OSSIGN AND ESS			
Basell Polyolefine GmbH Werk Ludwigs	hafen Intellectual Pro	perty, Building F 206 L	-67056 Ludwigshafen, Germany
FULL NAME OF FIFTH JOINT INVENTOR IF ANY	INVENTOR'S SIGNATU		DATE
Volker Dolle			
RESIDENCE			CITIZENSHIP
Wolfseartenstr. 27, 64625 Bensheim, Ge	rmany		Germany
MASS OFFICE ADDRESS		عدر تارینالیات کا ۱۹۸۶ تا	2.67056 Ludwigshafen, Germany
Basell Polyolefine GmbH Werk Ludwigs	shafen Intellectual Pro	operty, Building r 206 i	MI 1000 Duomiganiani, Commany

HOE 90/F 333C (9086*185)

Send Correspondence To:	Direct Telephone Calls To:
Connolly Bove Lodge & Hutz LLP	(302) 888-6270
P.O. Box 2207	
Wilmington, Delaware 19899-2207	

Andreas Winter Residence An den Brunnengärten. 367271 Neuleiningen. Germany ROST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany FULL NAME OF SECOND JOINT INVENTOR IF ANY INVENTOR'S SIGNATURE Niederhofheimer Str. 43a, 65719 Hofheim. Germany POST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany FULL NAME OF THIRD JOINT INVENTOR IF ANY INVENTOR'S SIGNATURE DATE DATE DATE
An den Brunnengärten. 367271 Neuleiningen, Germany ROST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany FULL NAME OF SECOND JOINT INVENTOR IF ANY INVENTOR'S SIGNATURE DATE Martin Antberg RESIDENCE Niederhofheimer Str. 43a, 65719 Hofheim, Germany POST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
RATION OF ICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany FULL NAME OF SECOND JOINT INVENTOR IF ANY INVENTOR'S SIGNATURE Martin Antberg RESIDENCE Niederhofheimer Str. 43a, 65719 Hofheim, Germany POST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany FULL NAME OF SECOND JOINT INVENTOR IF ANY INVENTOR'S SIGNATURE DATE Martin Antberg RESIDENCE Niederhofheimer Str. 43a, 65719 Hofheim, Germany POST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
Martin Antberg RESIDENCE Niederhofheimer Str. 43a, 65719 Hofheim, Germany Post office address Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
Martin Antberg RESIDENCE Niederhofheimer Str. 43a, 65719 Hofheim, Germany FOST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
Niederhofheimer Str. 43a, 65719 Hofheim, Germany FOST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
Niederhofheimer Str. 43a, 65719 Hofheim, Germany FOST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
POST OFFICE ADDRESS Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
A477
FULL NAME OF THIRD JOINT INVENTOR IF ANY INVENTOR'S SIGNATURE DATE
Walter Spaleck
RESIDENCE CITIZENSHIP
Elsa-Brändström-Str. 32, 28359 Bremen, Germany Germany
POST OFFICE ADDRESS
Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
FULL NAME OF FOURTH JOINT INVENTOR IF ANY INVENTOR'S SIGNATURE DATE
Jürgen Rohrmann RESIDENCE
CITIZENSHIP
Hainpfad 5, 65779 Kelkheim, Germany Germany
POST OFFICE ADDRESS
Basell Polyolefine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen, Germany
Volker Dolle PULL NAME OF FIFTH JOINT INVENTOR IF ANY INVENTORY SIGNATURE DATE DOLLE DATE DATE
RESIDENCE CITIZENSHIP
RESIDENCE
POST OFFICE ADDRESS
Basell Polyolcfine GmbH Werk Ludwigshafen Intellectual Property, Building F 206 D-67056 Ludwigshafen. Germany

^{::}ODMA\MHODMA\CB;298204

GERMAN LEGISLATION

Compilation of civil, criminal and procedural law

Founded by

Dr. Heinrich Schönfelder

97th Edition

As at: March 1999

C.H. Beck'sche Verlagsbuchhandlung Munich 1999 Section 5: Signature authorization and commercial authority $^{4)}$

§48. [Grant of the signature authorization; general signature authorization] (1) The signature authorization can only be granted by the owner of the commercial business or his lawful representative and only by means of an express declaration.

- 1) §36 Repealed, §37a inserted by Section 3 of the German Commercial Law Reform Act (Handelsrechtsreformgesetz-HRefG) of 22 June 1998 (Bundesgesetzblatt (BGBl.) I p1474).
- 2) §37 (1) amended by Act of 2 March 1974 (BGB1. I p.469), second sentence of subsection 1 repealed by non-inclusion in BGB1. Part III; see §3(1) Act of 10 July 1958 (BGB1. I p.437) in conjunction with §3 (2) (1) Act of 28 December 1968 (BGB1. I p.1451).
- 3) §§38-47b Repealed by the German Balance sheet Directive Act (Bilanzrichtliniengesetz-BiRiLiG) of 19 December 1985 (BGB1. I p.2355).
- 4) In this respect, note also \$\$164 et seq. of the German Civil Code (Bundesgesetzbuch); No. 20.

EL 100 March 1999

- (2) Authorization may be given to a number of persons jointly (general signature authorization).
- **\$49.** [Scope of the signature authorization] (1) The signature authorization shall authorize all types of judicial and extrajudicial transactions and legal acts involved in the running of a commercial business.
- (2) The authorized signatory shall not be authorized to sell and encumber land unless this authorization is specially granted to him.
- §50.[Restriction of the scope] (1) Any restriction of the scope of the signature authorization shall be invalid with regard to third parties.
- (2) This shall apply in particular to any restriction that the signature authorization may only be exercised for certain transactions or certain types of transactions or only under certain circumstances or for a certain period or at particular places.
- (3) 1. A restriction of the signature authorization to the business of one of a number of establishments belonging to the owner of the business shall only be valid as regards third parties if the establishments are operated under different company names. 2. A difference in the company names for the purposes of this provision shall also be established by an additional element being included in the name for a branch office which characterizes it as the name of the branch office.
- §51. [Signature of the authorized signatory] The authorized signatory shall sign in such a manner that he adds his name to the company name with an additional element indicating the signature authorization.

§52. [Revokability; non-transferability; death of the owner]

(1) The signature authorization may be revoked at any time regardless of the legal relationship on the basis of which it was granted, notwithstanding the right to contractual remuneration.

- (2) The signature authorization shall not be transferable.
- (3) The signature authorization shall not expire as a result of the death of the owner of the commercial business.
- \$53.1) [Notification of the grant and expiry of the signature authorization; signature of the authorized signatory] 1)1) The owner of the commercial business shall give notice of the grant of the signature authorization for the purposes of registration in the commercial register. 2) If the signature authorization is granted as a general signature authorization, notice must be also be given of this fact for registration purposes.
- 2) The authorized signatory shall sign his name stating the company name and an additional element indicating the signature authorization for retention at the court.
- 3) Notice of the expiry of the signature authorization shall be given in the same way as notice of the grant thereof for registration purposes.

^{1) §53 (2)} Reworded by Section 3 HRefG of 22 June 1998 (BGB1. I4p.1474).

²⁴